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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2812  
Examiner: Unassigned

In Re PATENT APPLICATION Of:

Applicant : Minoru WATANABE et al.

Serial No. : 10/712,017

Filed : November 14, 2003

For : PROCESS FOR FORMING A PATTERN

Attorney Ref.: OKI 387

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)  
) **INFORMATION**  
) **DISCLOSURE**  
) **STATEMENT AND**  
) **CERTIFICATION**  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted in compliance with the timing requirements of 37 C.F.R. § 1.97(b)(3), i.e., prior to a First Office Action on the merits.

Attached are copies of eight Japanese patent publications, which were cited in the attached Japanese Office Action dated March 12, 2004, and from which any relevance of the publications may be gleaned. The Action was issued by the Japanese Patent Office in connection with a Japanese counterpart application and discusses these publications. Also attached are English-language translations of the Japanese Office Action and respective ones of the publications, and English-language abstracts of respective other ones of the publications, from which any further relevance of the documents may be gleaned. The publications are listed on the attached Form PTO-1449.

It is hereby certified that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a

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counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Since this Information Disclosure Statement is being filed before a first office action on the merits, no fee is required, and the requirements of 37 C.F.R. §§ 1.97 and 1.98 are deemed to be fully met as to all listed documents. Consideration of the listed documents is respectfully requested.

Respectfully submitted,

May 19, 2004

Date



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## FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

Atty. Docket

OKI 387

Application No.

10/712,017

Applicant

Minoru WATANABE et al.

Filing Date

November 14, 2003

Group

2812

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
✓	AG	2001-274062	10/05/01	JAPAN			Abstract, Translation
✓	AH	11-119443	04/30/99	JAPAN			Abstract, Translation
✓	AI	06-069118	03/11/94	JAPAN			Abstract, Translation
✓	AJ	JP 1-307228	12/12/89	JAPAN			Translation
✓	AK	11-168052	06/22/99	JAPAN			Abstract, Translation
✓	AL	2002-164302	06/07/02	JAPAN			Abstract, Translation
✓	AM	09-162137	06/20/97	JAPAN			Abstract, Translation
✓	AN	JP 2004-70032	03/04/16	JAPAN			Translation

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.